

05-01-01

GRAYCARY TECHNOLOGY'S LEGAL EDGESM401 B Street, Suite 1700
San Diego, CA 92101-4297

www.graycary.com

O] 619-699-2700

F] 619-699-3452

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new non-provisional applications under 37 CFR 1.53(b))

Attorney Docket No.	LIGHT1920	C/M # 2101501-165341
First Inventor or Application Identifier:	Wenhua Lin	
Title:	TUNABLE FILTER	
Express Mail Label No.:	EL617045237US	
Application Elements (See MPEP chapter 600 concerning utility patent application contents)		ADDRESS TO: Assistant Commissioner For Patents BOX PATENT APPLICATION Washington, D.C. 20231
1. <input type="checkbox"/> Fee Transmittal Form (Submit an original, & duplicate for fee processing) 2. <input checked="" type="checkbox"/> Applicant claims small entity status 3. <input checked="" type="checkbox"/> Specification [Total Pages <u>48</u>] (preferred arrangement set forth below) <ul style="list-style-type: none"> • Descriptive title of the Invention • Cross References to Related Applications • Statement Regarding Fed sponsored R&D • Background of the Invention • Brief Summary of the Invention • Brief Description of the Drawings (if filed) • Detailed Description • Claim(s) • Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total sheets <u>34</u>] 5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages <u>3</u>] a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) i. <input type="checkbox"/> Deletion of Inventor(s) Signed statement attached in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table, or Computer Program (Appendix) 8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. <input type="checkbox"/> Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statement verifying identity of above copies ACCOMPANYING APPLICATION PARTS 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power Of Attorney (when there is an assignee) 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS/PTO 1449) Citations 13. <input type="checkbox"/> Preliminary Amendment (____ pgs.) 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. <input checked="" type="checkbox"/> Express Mail Certification 17. <input type="checkbox"/> OTHER: Check # _____ (\$____)
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-In-Part of prior application no.: _____ Prior application information: Examiner: _____ Group/Art Unit: _____		
18. CORRESPONDENCE ADDRESS		
<input type="checkbox"/> Customer Number (25548) Or Bar Code Label OR <input type="checkbox"/> Correspondence Address Below		
ATTN: Terrance A. Meador GRAY CARY WARE & FREIDENRICH 4365 Executive Drive, Suite 1600 San Diego, CA 92121-2189		
Telephone: 858/638-6747		Patent Group Fax No.: 619/677-1465
Name (print/type) Terrance A. Meador		Registration No.: 30,298
Signature <i>Terrance A. Meador</i>		Date 30 April 2001

1c872 U.S. PTO
09/845685

04/30/01

PATENT ATTORNEY DOCKET NO.: LIGHT1920

The Patent and Trademark Office date stamp sets forth the receipt date of:

Applicant: WENHUA LIN
Application No.: Unknown
Filed: Herewith
Title: TUNABLE FILTER

Art Unit: Unknown
Examiner: Unknown
Batch No.: N/A
Date of Notice of Allowance:

☒ Utility Patent Application Transmittal ☐ Petition for Month Extension of Time (pg.)
☒ Specification (48 pages total)
☒ Declaration for Patent Application (Unsigned)
☐ Check: _____ ☒ Certificate of Express Mail - Label No. EL617045237US
☒ Drawings: 34 Sheets ☐ Certificate of Correction (pg.)
☐ Preliminary Amendment (pgs) ☐ Notice of Appeal (pgs.)
☒ Small Entity ☐ Appeal Brief (pg; 3 copies)
☐ Copies of Demand and Notification Concerning Submission
☐ Assignment/Recordal Cover Sheet (pg.)
☐ Copy of Notice to File Missing Parts
☐ Information Disclosure Statement (pg.)
☐ Form PTO-1449 (1 pg.)
☐ Number of Prior Art References:
☐ Declaration (pg.) ☐ Disk
Other: Return receipt postcard Date Mailed: 30 April 2001
Atty/Sec Initials: TAM/meg Client Name: LIGHTCROSS C/M 2101501-165341 Date Due: N/A

EL617045237US

"EXPRESS MAIL" MAILING LABEL NO. _____

DATE OF DEPOSIT: April 30, 2001

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.

Lucille M. Begalla

NAME

Lucille M. Begalla

SIGNATURE